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Precipitation impacts on earthen architecture for better implementation of cultural resource management in the US Southwest

Sharlot Hart^{1*}, Kara Raymond¹, C. Jason Williams², Justin Johnson³, Jacob DeGayner¹ and Matthew C. Guebard^{1*} 

Abstract

Changing seasonal precipitation patterns prompted by climate change are likely causing increasing degradation of adobe architecture in the American Southwest. This deterioration includes surface erosion and catastrophic collapse. This study examines the impact of changing rainfall patterns on untreated adobe walls to understand how damage occurs and anticipate future impacts. To complete the study, we constructed 20 adobe test walls. Using a portable rain simulator, each wall was subjected to two rainfall experiments: high-intensity rainfall simulations (rain intensity variable) and low-intensity rainfall simulations (rain event number variable). Wall-degradation metrics (material loss, volume loss, affected surface area, and cavity depth) were calculated for each wall using pre- and post-simulation LiDAR scans. Internal wall moisture was also measured with embedded volumetric water content sensors. In the high-intensity experiment, the lines of best-fit for material loss and affected surface area show that surface erosion increases with rain intensity, while cavity depth remains consistent. Linear models and post-hoc tests indicate material loss and affected surface area is significantly different for each high-intensity rainfall treatment. Furthermore, the interior of each wall remained relatively dry demonstrating that rain intensity is not a strong predictor of interior wall moisture. In the low-intensity rainfall experiment, the rainfall simulations yielded statistically similar erosion and interior wall moisture results. Greater infiltration occurred under low-intensity long-duration rain conditions, while greater surficial damage occurred under high-intensity rain conditions. In conclusion, changing weather regimes are bringing more intense rainfall events to the arid American Southwest. This study suggests that more frequent high intensity rain events will cause increasing damage to adobe walls. Resource managers will need to adapt current management strategies to account for this change.

Keywords: Earthen architecture, Adobe, Climate change, Rain intensity, Erosion, Historic, Tumacácori National Historical Site, National Park Service

Introduction

Over the last decade, cultural resource managers working for the United States National Park Service (NPS) have noted increasing damage to earthen architecture from rainfall. Rainwater from storm events has caused erosion, material loss, and even catastrophic collapse of adobe architecture [1]. For instance, Tumacácori National Historical Park (NHP), near Tucson, Arizona, has suffered

*Correspondence: sharlot_hart@nps.gov; matt_guebard@nps.gov

¹ National Park Service, Southern Arizona Office, 3636 North Central Avenue, Suite 410, Phoenix, AZ 85012, USA

Full list of author information is available at the end of the article

four wall collapses since 2010. This damage appears to happen when rain penetrates the existing protective lime shelter and saturates underlying adobe, causing structural failure. The collapses at Tumacácori occurred during long-duration storm events in both winter and summer. The summer storm events also included short periods of high-intensity rainfall.

To adapt, resource managers must understand the relationship of rainfall intensity and resource damage and develop appropriate strategies for protecting fragile architectural fabric under changing precipitation regimes. However, most studies of the architectural resilience of adobe have tested preservation treatments instead of hydrologic mechanisms. The research described here provides baseline data on material loss to help advance our understanding of how to protect historic adobe structures under predicted future climate conditions. Over the course of eight months, an interdisciplinary team of archaeologists, masons, and historic preservationists, with the help of hydrologists, soil scientists, geographers, climate data, and computers, exposed untreated test walls to high- and low-intensity rainfall simulations and used LiDAR scanning to record the effects.

Adobe structures and climate change

Much of the available climate change data regarding risks to archaeological sites and historic properties focuses on the impact of sea level rise. The dry conditions typical of the US Southwest are generally considered favorable for the long-term preservation of artifacts and architecture. However, changing regional precipitation patterns relative to rainfall intensity are likely to cause increasing damage to earthen architecture. Projecting future precipitation patterns for this area is challenging due to high inter-year variability and the complex interplay of seasonal rain-bearing systems [2–6]. Shifts in the North American Monsoon weather system and Pacific tropical cyclones will affect the summer and early autumn rainfall amount, frequency, and intensity. Similarly, shifts in Pacific cold fronts and atmospheric rivers will affect winter rainfall patterns. These four weather systems will have a range of impacts on precipitation across the American Southwest.

Many climate change models project an increasing frequency of extreme rain events in the region during the North American Monsoon [7–11]; even while annual precipitation is projected to decrease, though the seasonality of the decrease varies [7, 9, 12–18]. However, a few studies have also found no trend in extreme events [13, 15]. An analysis of extreme precipitation during the twentieth and early twenty-first centuries across the Southwest has already found an increasing trend in the

1-day, 20-year return precipitation value [14]. In southeastern Arizona, daily and subdaily precipitation intensities increased between 1961 and 2017 [3].

Tropical cyclones may also drive increases in extreme storms across the US Southwest. Remnant moisture from decaying Pacific tropical storms can produce large rain totals over multiple days in Arizona and other southwestern states [19]. These long-duration storm events create conditions that can drastically increase erosion and internal moisture of adobe walls, leading to catastrophic damage. Compared to present day weather patterns, projections indicate a substantial increase in category 4–5 tropical cyclones in the northeast Pacific Ocean by the late twenty-first century [20, 21]. Similar increases may be seen in the number of remnant storms moving inland. These projected changes in rainfall patterns highlight the need to understand how different types of rain events affect earthen architecture and the efficacy of existing preservation methods. Indeed, one of the storms resulting in wall collapse at Tumacácori NHP was a remnant hurricane.

Regardless of storm type, moisture is a significant factor affecting the deterioration of earthen structures [1, 22]. Numerous hydrologic processes influence the rate and severity of decay [23]. Wetting and drying cycles may induce swelling of clay particles, resulting in cracking and reduced structural stability that increase opportunities for water ingress [1]. Freeze-and-thaw cycles similarly result in cracking via thermal expansion of freezing water [24]. Earthen foundations are particularly vulnerable to moisture-related deterioration due to capillary rise of water absorbed from the adjacent ground, and direct wetting from rain splash. When saturated, building materials may take on more fluid-like properties, making them prone to mass wasting or collapse. Energy from rainfall and resulting over-structure flow can detach particles and result in damage and deterioration [25].

Precipitation at Tumacácori NHP

Changes in precipitation amount and timing are becoming apparent at the Tumacácori NHP Cooperative Observer Program rain gage (USC000028865). Mean annual total rainfall at the park from 2001–2020 was 39.5 cm, approximately 3.0 cm down from the 1971–2000 annual mean. Annual precipitation for these two periods are not statistically different due to high inter-year variability. Rainfall in winter and spring decreased 29% and 23% respectively between the two measurement periods. Additionally, the summer monsoon rainfall has slightly increased by 3% (0.8 cm) and now accounts for 61% of the annual rainfall total. The daily precipitation data from USC000028865 are too coarse to directly analyze changes in storm intensity and frequency.

Historical and contemporary erosion studies on earthen architecture

To protect historic adobe structures from the effects of weathering, a variety of preservation treatments may be applied. The construction and monitoring of test walls is a standard practice used to develop and assess the performance of those treatments prior to application. This includes testing the use of protective shelter coatings on adobe walls and monitoring the mechanisms of weather-caused deterioration [1, 22]. Test walls allow managers to evaluate the durability and effectiveness of a proposed treatment and anticipate potential damage from future weather before the treatment is implemented on irreplaceable historic fabric. At NPS sites in the US Southwest, test-wall projects have assessed the effectiveness of preservation treatments at places like Pecos National Historical Park, where L-shaped test walls were used to evaluate performance of the additive, Rhoplex E330, in protective veneers [26]. Similar projects have tested amended plasters at Casa Grande Ruins National Monument, Fort Bowie National Historic Site, and Tumacácori NHP.

Previous adobe test-wall studies have tended to focus on the performance of soil amendments. The Fort Selden Adobe Test Wall Project, overseen by The Getty Conservation Institute, is a standard bearer in that world. The purpose of their Phase I project was “to test a wide range of protective coatings, wall caps, and wall foundation treatments” [27]. Oliver’s 2000 report provided important performance data on soil amendments and was based on 15 years’ worth of exposure. The Fort Selden project focused on gathering longitudinal data for the protection of already deteriorating sites. When that project started in 1985 there was little concern for the changing weather patterns facing resource managers today.

Historical hydrologic/erosion work on adobe structures and rainfall simulation technologies as a new tool

Rainfall significantly impacts the durability of earthen structures and several studies have attempted to measure the hydraulic properties of earthen building materials [23]. Much of this previous work deployed immersion and/or absorption tests [28–30]. Immersion tests assess the rate at which a building material becomes saturated by submerging that material and then weighing it periodically. Although useful in its simplicity, submerged conditions (e.g., from flooding) are rare, and immersion tests likely overestimate the rate at which a medium conducts water during natural rainfall. Absorption tests identify the sorptive properties of earthen materials (i.e., capillarity) during infiltration. In absorption tests, a building material is placed atop a saturated surface (e.g., sponge,

wet sand) and is then weighed regularly to determine its ability to absorb moisture. Researchers have also measured the erodibility of earthen building materials through various abrasion and drip tests [31–33].

The application of rainfall simulations to earthen structures offers an opportunity to apply advances in other fields of study to the preservation of historic structures. Rainfall simulations have been used extensively in hydrologic studies to quantify infiltration, runoff, and surface soil erosion [23, 34, 35]. However, these studies have been less frequently applied to assessing the durability of earthen building materials—though some examples do exist [36]. Ogunye and Boussabaine [37] designed and utilized a “rainfall test rig” to expose soil blocks to high-intensity (150 mm h^{-1}) rainfall. Hall [38] created a “climatic simulator” with controls on temperature and relative humidity that could apply various storm sequences to entire wall surfaces. Spray tests, which are less precise, have also been used to study erodibility [39].

This study details our efforts to systematically investigate the impact of high and low-intensity rain events on adobe structures using test walls and systematic rain simulations. The intent of the project was to better understand the mechanisms associated with varying rainfall intensities and storm types that result in damage to adobe walls. The study was conducted at the NPS Desert Research Learning Center (DRLC) in Tucson, Arizona. Two of the authors along with others built a total of 20 adobe test walls on site and exposed them to a series of rainfall simulations representing current and predicted storm events. Sensors embedded in each wall monitored for moisture before, during, and after each simulation. LiDAR scans were conducted to quantify material and volume losses and other degradation metrics for unamended adobe.

Material and methods

Adobe wall construction

Sourcing soil and building the walls

Test walls were constructed using materials and methods consistent with the historic fabric comprising adobe buildings at Tumacácori NHP. Materials included soil and sand that matched the clay content and color attributes of original eighteenth century construction. National Park Service staff use these same materials for preservation maintenance, and they have proven successful for repairs and small-scale reconstructions. Using these materials will enable extrapolation of the research findings to actual structures of the same composition.

Wall construction was undertaken as two trainings for NPS cultural resource personnel and their cooperators at the NPS DRLC. These trainings were led by three instructors experienced in both masonry and adobe in

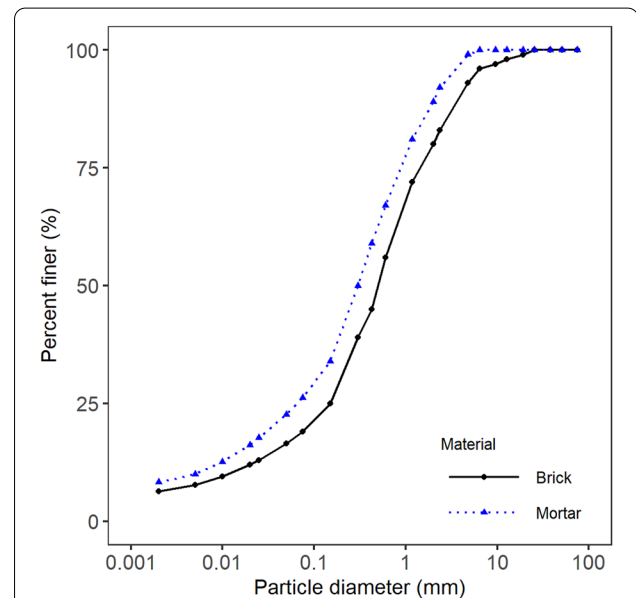
Table 1 Physical properties of block and mortar samples

Property	Block	Mortar	Analytical Method
Bulk density (g cm^{-3})	1.87	1.72	ASTM D2937-17e2 [41]
Particle density (g cm^{-3})	2.68	2.68	
Estimated total porosity ($\text{cm}^3 \text{cm}^{-3}$)	0.301	0.358	
Saturated hydraulic conductivity (cm h^{-1})	0.35	0.47	ASTM D2434-68 [42]
Liquid limit (% water content)	21	22	ASTM D4318-17e1 [43]
Plastic limit (% water content)	16	14	

**Fig. 1** Adobe blocks drying, showing placement of sensors

southern Arizona: the head mason and a retired mason from Tumacácori NHP, and a US Forest Service historic preservation specialist. During the first training in early August 2018, participants created adobe blocks. The dimensions of each block ($41 \text{ cm} \times 25 \text{ cm} \times 9 \text{ cm}$) match the size of original blocks found at the Mission Los Santos Angeles de Guevavi (part of Tumacácori NHP). Moisture sensors were placed horizontally in the blocks as they were being made to ensure the adobe was in full contact with the sensors (Fig. 1). Each sensor was placed 5 cm from a block's top and bottom faces, with the tip of each sensor 12 cm from the outside edge.

During the second training, in early October 2018, twenty adobe test walls were built. The test walls were sized and spaced ($>2 \text{ m}$ apart) to accommodate placement of the rainfall simulator. Each adobe wall was 9 courses (1.0 m) tall, 1 block (0.41 m) wide, and three wythes (0.81 m) thick. Every other course had two whole blocks centered and a split block on either end. A block with a volumetric water-content sensor was placed in the eighth course of each wall (0.80 m above ground surface). Walls were left bare, with no cap or shelter coat. Physical properties and characteristics of adobe block and mortar samples are summarized in Table 1 and Fig. 2 [40].

**Fig. 2** Block and mortar particle size distributions, methods ASTM D 6913-17 and ASTM C136-14 [44, 45]

Rain simulator

High-intensity rainfall

The first experiment evaluated the effects of high-intensity storms. Assessments of wall wetting and degradation were determined by material loss, volume loss, affected surface area, cavity depth, and relative moisture content. Treatments for the high-intensity experiments lasted 30 min and simulated the following storm types: (1) Control: no rainfall, (2) One-year Storm: 3.6 cm h^{-1} , (3) 25-year Storm: 8.5 cm h^{-1} , and (4) 100-year Storm: 10.6 cm h^{-1} . The selected rainfall intensities were based on the return interval for one-year, 25-year, and 100-year storms as estimated for the Tumacácori NHP weather station, which is 79 km from the DRLC [46]. The 25-year and 100-year treatments are proxies for higher intensity storms that are projected to become more frequent. The 30-min “storms” resulted in total rainfall amounts of 1.8 cm, 4.2 cm, and 5.3 cm, respectively. A single treatment was applied to each wall in early to mid-November

2018. Each treatment was randomly assigned to five different walls (1 treatment per wall, $n=5$ walls per each of the four treatments).

Low-intensity rainfall

The second experiment, for low-intensity rainfall, was conducted from late May to early June 2019, on the same test walls used for the high-intensity simulations. This experiment was intended to assess the effects of prolonged low-intensity rainfall on wall wetting and degradation. Treatments were conducted as follows: (1) Control: no rainfall, (2) 1-event: 3.9 cm rainfall, 4-h duration (240 min), and (3) 2-event: 3.9 cm rainfall, 4-h duration (80 min/160 min). The control treatment ($n=5$) utilized the same original five control test walls from the high-intensity rainfall experiments. Each of the remaining 15 test walls were randomly assigned either the 1-event ($n=8$) or 2-event ($n=7$) treatment. The simulated rainfall was applied to each wall at an intensity of 1.6 cm h^{-1} in ten successive 15-min sequences, each followed by a 9-min period without rainfall.

The 1-event and 2-event experiments yielded a total rainfall of 3.9 cm over the full simulation period (240 min), at an average intensity of 0.97 cm h^{-1} . The 1-event treatment received the low-intensity rainfall application over a continuous 240-min period and was equivalent to a rain event at Tumacácori NHP with a 2–5 year recurrence interval [46]. The 2-event treatment received the same low-intensity rainfall application over an 80-min period and a 160-min period, separated by a 48-h hiatus, and was equivalent to a multi-day rain event that occurred more frequently than once a year [46]. Test walls were exposed to ambient conditions during the hiatus. The sampling design ensured that 2–3 walls from each treatment type of high-intensity rainfall in 2018 were included in both the 1-event and 2-event treatments in 2019.

Equipment

All rainfall simulations were conducted by the US Department of Agriculture, Agricultural Research Service (ARS) staff, using a portable single-nozzle, Meyer and Harmon-type oscillating-arm rainfall simulator [47] raised 3 m above the ground surface (Fig. 3). The simulator design and operation were described in previous erosion studies by Pierson and others [48, 49]. To account for rainfall intensity and kinetic-energy relationships [47, 50], high-intensity simulations were conducted using a VeeJet 80–100 nozzle, while low-intensity simulations used a VeeJet 80–70 nozzle. Simulator nozzles were pressurized to 41 N m^{-2}



Fig. 3 Adobe test wall with rainfall simulator and windscreen set up

Table 2 Nozzle equipment outputs

Experiment	Nozzle type	Kinetic energy at ground surface ($\text{kJ ha}^{-1} \text{ mm}^{-1}$)	Mean droplet size (mm)
High-intensity	80–100	200	2
Low-intensity	80–70	120	1

to match settings described and tested by Meyer and Harmon [47]. The VeeJet 80–100 and 80–70 nozzles produced rainfall with kinetic energy at the ground surface of approximately $200 \text{ kJ ha}^{-1} \text{ mm}^{-1}$ and $120 \text{ kJ ha}^{-1} \text{ mm}^{-1}$, respectively. Mean droplet sizes were 2 mm and 1 mm for the 80–100 and 80–70 nozzles, respectively (Table 2) [47]. Information on nozzle types and equipment outputs are shown in Table 2.

The simulator was calibrated daily for each target intensity by simulating rainfall over a calibration pan for five minutes [49]. All simulations were controlled for wind by tarping around the simulator and associated test wall. After LiDAR scanning (described below) and a brief drying period, test walls were covered with protective tarps following the high-intensity rainfall experiments in 2018. All walls remained tarped until

initiation of the low-intensity rainfall experiments in 2019.

LiDAR application

A Surphaser[®] Model 10 (Basis Software, Redmond, Washington, USA) laser scanner was used to measure test walls in 3D space before and after both the high- and low-intensity rainfall simulations. The Model 10 is a tripod-mounted hemispherical Class 1 laser scanner that records precise point coordinates by emitting a constant laser pulse and computing distances based on the measured phase shift of the signal. The margin of error for these measurements is likely to remain under one millimeter for distances within 15 m of the scanner [51].

To improve accuracy, distances between the scanner and walls were intentionally limited to reduce the effects of beam diameter and other range-based errors. Two levels of registration were used to compute geometric differences and allow for spatial comparison of datasets. First, a mixture of 150 mm and 200 mm spherical reference targets were distributed across the study area. High densities of measured points across the surface of each sphere allowed registration software to calculate the geometric centroid of each target, resulting in common points from which to base a 3D least-squares registration solution. Second, permanent control points were used to register each scanning epoch (measurement event) to the same coordinate space. Five 150 mm target spheres were mounted on short sections of permanently installed metal pipe. The spherical geometry of the targets and the permanent metal pipe ensured targets could be identified and located in subsequent scans (Fig. 4).

Test walls were recorded in four scanning epochs, each consisting of approximately 30 scans. The epochs

are defined here as Epochs I and II (before and after the high-intensity rainfall experiment in 2018) and Epochs III and IV (before and after the low-intensity rainfall experiment in 2019). Measured and adjusted locations of control points from each scanning epoch were compared to the global mean location derived from all four epochs. As shown in Table 3, this resulted in a root mean square error (RMSE) of 0.58 mm.

Each scanning epoch was processed and registered in FARO Scene software (SCENE, version 2019.2), and then co-registered with the other epochs using the five permanent control points. Standard data filtration processes were applied to remove stray points and weak signal returns. Wall-degradation metrics (material loss, volume loss, affected surface area, and cavity depth) were calculated for each wall using the before and after LiDAR scans.

The rainfall experiments caused debris from mass wasting to mound up at the base of each wall. We defined reference planes above this level and removed all surfaces below the plane for both the before and after models. Material (%) and volume losses (cm³) were derived as the relative difference between pre- and post-treatment wall volumes. Scanning epochs were aggregated into single-point clouds and converted to polygonal meshes at a

Table 3 Mean control-point residuals from laser scanning

Observation (epoch and CP ID)	3D Distance from geometric mean (mm)
I CP1	0.338
I CP2	1.526
I CP3	0.145
I CP4	0.223
I CP5	0.124
II CP1	0.429
II CP2	0.674
II CP3	0.243
II CP4	0.317
II CP5	0.224
III CP1	0.861
III CP2	1.129
III CP3	0.320
III CP4	0.509
III CP5	0.164
IV CP1	0.653
IV CP2	0.577
IV CP3	0.262
IV CP4	0.338
IV CP5	0.232
RMSE	0.58



Fig. 4 LiDAR scanning equipment and test walls during Epoch II. Photo NPS/Alice W. Biel

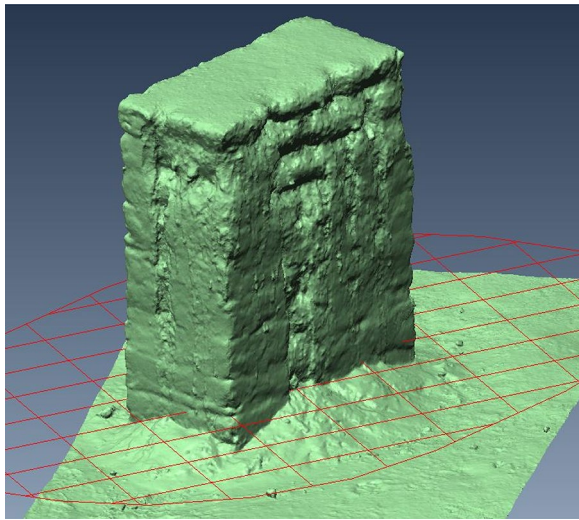


Fig. 5 Example of the three-dimensional mesh of an adobe test wall and exclusion plane

3-mm spatial resolution using Geomagic Wrap (v.11.0). A hole-filling operation was used to close the opening created by the reference-plane exclusion, resulting in a closed three-dimensional mesh from which volumes were computed (Fig. 5).

Affected surface area was defined as wall surfaces that exhibited deviation greater than or equal to 2 mm from the previous epoch. We used the Cloud-to-Mesh Distance tool in CloudCompare (v.2.11.2) to generate a scalar field, calculating a distance value to each mesh facet. Each wall model was then filtered to isolate facets representing distances exceeding the 2-mm error threshold. This threshold value was arrived at by observing measured deviations in the control walls between Epochs I and II and Epochs III and IV. Comparison of Epochs I and IV was not used in threshold analysis due to the inadvertent weathering and damage to control-wall surfaces over the eight months of the project. As shown in Tables 4 and 5 a threshold of 2 mm yielded a detected change area of less than 1% on the non-treated control walls, indicating a robust confidence level. Analyses using thresholds under 2 mm resulted in a rapid increase in reported change detection on the control walls. Cavity depth was the maximum distance differential for each simulation. It was calculated using a nearly identical method to that used for affected surface area: extracting a maximum deviation from each wall model, rather than a surface-area measurement.

Moisture sensors

Internal wall moisture was measured with METER Group EC-5 sensors paired with an Em50 data logger (METER,

Table 4 Affected surface area metrics for epochs I and II

Wall ID	Initial surface area (Epoch I)	Area exceeding 2 mm surface deviation (cm)	% surface area change
A	2.39037	6348.97	26.56
B*	2.44042	7.18E-01	0.00
C	2.56427	1482.44	5.78
D*	2.34887	13.0351	0.06
E	2.13564	7683.96	35.98
F	2.27458	5957.49	26.19
G	2.3399	7177.99	30.68
H	2.34361	7631.54	32.56
I	2.34718	8594.06	36.61
J*	2.41129	12.5374	0.05
K	2.45843	6904.08	28.08
L*	2.59524	18.4082	0.07
M	2.66809	1290.42	4.84
N	2.32143	9375.07	40.38
O	2.0657	9221.69	44.64
P	2.5461	4723.43	18.55
Q	2.3216	9063.29	39.04
R*	2.48753	28.8538	0.12
S	2.66108	1718.29	6.46
T	2.39898	1991.85	8.30

* Bold rows indicate non-treated control walls

Table 5 Affected Surface Area Metrics for Epochs III and IV

Wall ID	Initial surface area (epoch III)	Area exceeding 2 mm surface deviation (cm)	% surface area change
A	2.41526	1836.19	7.602453
B*	2.34593	6.65632	0.028374
C	2.56544	4798.69	18.70513
D*	2.34999	36.6769	0.156073
E	2.19411	1706.54	7.777823
F	2.34617	2022.4	8.620006
G	2.32666	1994.67	8.573105
H	2.41107	1432.52	5.941428
I	2.32192	3161.16	13.61442
J*	2.40784	10.4928	0.043578
K	2.3638	1129.64	4.778915
L*	2.58086	40.0112	0.15503
M	2.67778	2459.61	9.185258
N	2.34988	2140.46	9.108806
O	2.04202	2084.6	10.20852
P	2.51366	5041.27	20.0555
Q	2.35092	2558.8	10.88425
R*	2.47674	37.6174	0.151883
S	2.66901	2902.67	10.87546
T	2.39345	3789.16	15.83137

* Bold rows indicate non-treated control walls

Pullman, WA, USA). The EC-5 measures volumetric water content (VWC) with a range of $0\text{--}1\text{ cm}^3\text{ cm}^{-3}$ and an accuracy of $\pm 0.02\text{ cm}^3\text{ cm}^{-3}$ [52]. VWC is the ratio of total water volume to the volume of the entire material, including soil, organic matter, air, and water. Saturation of the adobe block occurs at approximately $0.30\text{ cm}^3\text{ cm}^{-3}$, the estimated total porosity (Table 1). VWC was sampled every two minutes during the high-intensity rainfall experiment and every ten minutes during the low-intensity experiment.

We accounted for temperature by first compensating the dielectric permittivity (DP) using the equation in Rosenbaum and others [53]. Then the compensated DP was converted to VWC using the equation in Malicki and others [54]. Mukhilisin and Saputra [55] determined that this equation modeled the DP/VWC relationship most accurately compared to ten other models.

Net change of VWC ($\Delta\theta\text{VWC}$) was derived as the difference between the VWC immediately before the start of each treatment and the maximum VWC recorded after the treatment began. Drying time was determined as the time from the maximum VWC recorded after the respective treatment began to when VWC decreased to the same level as at the start of each treatment.

Data analysis

For the high-intensity rainfall experiment, we computed linear, quadratic, cubic, and quartic regression models using the ordinary least squares method for the five metrics (material loss, volume loss, affected surface area, maximum cavity depth, and $\Delta\theta\text{VWC}$) by rainfall intensity, and chose the best-fit model for each based on Akaike's information criterion (AIC). We also computed a linear model of the five metrics by treatment (control, 1-year storm, 25-year storm, 100-year storm). Metrics that demonstrated homogeneity of variance were analyzed using one-way analysis of variance (ANOVA) and Tukey's post-hoc test. Metrics with unequal variance were analyzed using White-corrected ANOVA and the Dunnett T3 post-hoc test.

For the low-intensity rainfall experiment, we computed a linear, mixed-effect model of the five metrics by treatment (control, 1-event or 2-event) as the fixed effect, with the erosion metrics from the high-intensity rainfall experiment as random effects to account for the varying condition of each wall at the start of the low-intensity experiments. The model with the lowest AIC was chosen for analysis. Each model was analyzed using ANOVA and Tukey's post-hoc test. Metrics that affected drying time were also calculated in this way. We computed linear and quadratic regression models for drying time using $\Delta\theta\text{VWC}$ and erosion metrics for both the high- and

low-intensity rainfall simulations and chose the best-fit model for each based on the AIC.

We used *RStudio* (v.3.6.3) for the analyses: *stats* (v.3.6.2) for linear models, *rcompanion* (v.2.3.25) for linear model comparison, *nlme* (v.3.1-144) for generalized linear mixed and general least squares models, *emmeans* (v.1.4.5) to estimate marginal means, *car* (v.3.0-7) for type-II ANOVA (F-tests for linear models, Wald chi-square for linear mixed-effect), *multcomp* (v.1.4-12) to generate group letters of Tukey pairwise comparisons, *PMCMRplus* (v.1.4.4) for Dunnett T3 pairwise comparisons, and *multcompview* (v.0.1-8) to generate group letters.

Results

High-intensity rainfall experiment

Degradation metrics demonstrated that significantly different material loss (%) and affected surface area resulted from each high-intensity treatment (Tables 6, 7, Fig. 6a, b). The 100-year storm caused the greatest material losses, generating mean wall material loss of 5.64% and mean affected surface area of 8790 cm^2 . These changes represented substantial damage (including splash-sheet, detachment, rilling, and mass wasting) to bare adobe from a 30-min rain event. The regression models for all three wall-degradation metrics (material loss, affected surface area, and cavity depth) were significant, with $R^2 \geq 0.93$. The lines of best-fit for material loss and affected surface area showed that erosion of the adobe walls increased with rain intensity, at an increasing rate of change (Fig. 7).

Maximum cavity depth also increased with rain intensity (Fig. 6c), but the line of best-fit leveled off near the 100-year intensity level (10.6 cm h^{-1}) (Fig. 7c). Similarly, maximum cavity depth was largest for walls receiving the 100-year treatment, with a mean of 9.23 cm. However, the pairwise comparisons indicated that the 100-year and 25-year treatments were not statistically different (Fig. 6c). Overall, significant damage occurred to the bare adobe walls in all metrics. The increasing rate of change for material loss and affected surface area is concerning, given climate-change predictions.

Rain intensity was not a strong predictor for $\Delta\theta\text{VWC}$ in the high-intensity experiment (Fig. 7d). The $\Delta\theta\text{VWC}$ was slightly higher in the adobe walls that received rainfall treatments compared to the control walls. However, the F-test (for variability between the group means) indicated that none of the treatments were significantly different, and post-hoc tests were not conducted (Fig. 6d, Table 7). The regression equation showed a significant, marginally increasing relationship between rain intensity and $\Delta\theta\text{VWC}$, but the results included high variability with outlier data points, resulting in a low R^2 of

Table 6 Wall treatments, erosion metrics, and moisture metrics for the high-intensity rainfall simulations

Wall	Treatment	Rain intensity (cm h ⁻¹)	Erosion metrics				Moisture metrics			
			Material loss (%) ^a	Volume loss (cm ³) ^a	Affected surface area (cm ²) ^a	Cavity depth (cm) ^a	ΔθVWC (cm ³ cm ⁻³)	ΔθVWC initial (cm ³ cm ⁻³)	ΔθVWC maximum (cm ³ cm ⁻³)	Drying time (d)
B	Control	0	−0.08	−217	1	0.54	0.003	0.108	0.112	0.2
D	Control	0	−0.24	−635	13	0.50	0.013	0.073	0.085	4.3
J	Control	0	0.09	246	13	0.93	ND	ND	ND	ND
L	Control	0	0.03	95	18	0.59	0.010	0.089	0.099	0.4
R	Control	0	0.04	128	29	0.80	0.002	0.092	0.094	0.9
C	1-year	3.6	0.43	1271	1482	6.78	0.006	0.130	0.136	2.2
M	1-Year	3.6	0.37	1131	1290	3.75	0.016	0.074	0.090	9.4
P ^a	1-YEAR	3.6	3.03	8985	4723	6.44	0.019	0.083	0.102	9.3
S	1-year	3.6	0.41	1264	1718	5.26	0.046	0.075	0.121	14.5
T	1-year	3.6	0.83	2299	1992	6.23	ND	0.094	ND	ND
A	25-year	8.5	2.98	8197	6349	9.00	0.019	0.113	0.132	8.5
F	25-year	8.5	2.82	7360	5957	8.59	0.015	0.098	0.114	ND
G	25-year	8.5	3.67	9921	7178	7.13	0.015	0.099	0.113	17.6
H	25-year	8.5	4.52	12,122	7632	8.20	0.017	0.099	0.116	4.2
K	25-year	8.5	2.70	7641	6904	8.32	0.051	0.078	0.128	31.4
E	100-year	10.6	5.40	13,013	7684	9.66	0.025	0.075	0.100	18.2
I	100-year	10.6	4.97	13,346	8594	9.60	ND	ND	ND	ND
N	100-year	10.6	4.28	11,347	9375	8.81	0.027	0.082	0.109	9.4
O	100-year	10.6	6.71	15,590	9222	9.53	0.022	0.104	0.126	7.5
Q	100-year	10.6	6.86	18,769	9063	8.55	0.034	0.096	0.130	12.4

^a Wall P was excluded from analysis due to rain-simulator malfunction

ND indicates no data available

Table 7 ANOVA results for the high-intensity rainfall simulations

Parameter	Material Loss (%) ^a	Volume Loss (cm ³) ^a	Affected Surface Area (cm ²) ^a	Cavity Depth (cm) ^a	$\Delta\theta$ VWC (cm ³ cm ⁻³)
F-test	60.5	66.2	323	448	1.91
p-value	< 0.001	< 0.001	< 0.001	< 0.001	0.182

^a Metrics had unequal variance; White-corrected analysis of variance used

0.26 (Fig. 7d). The outliers were likely related to the co-location of deep cavities near the VWC sensors on some walls, causing increased internal moisture near the sensor. For example, during the high-intensity simulation, Wall S developed a rill near the EC-5 sensor and had the second-highest $\Delta\theta$ VWC results (Fig. 8, Table 6). Removing the outliers increased the R^2 to 0.77. The ANOVA for this subset of $\Delta\theta$ VWC rejected the null hypothesis. The pairwise comparisons showed the $\Delta\theta$ VWC for the

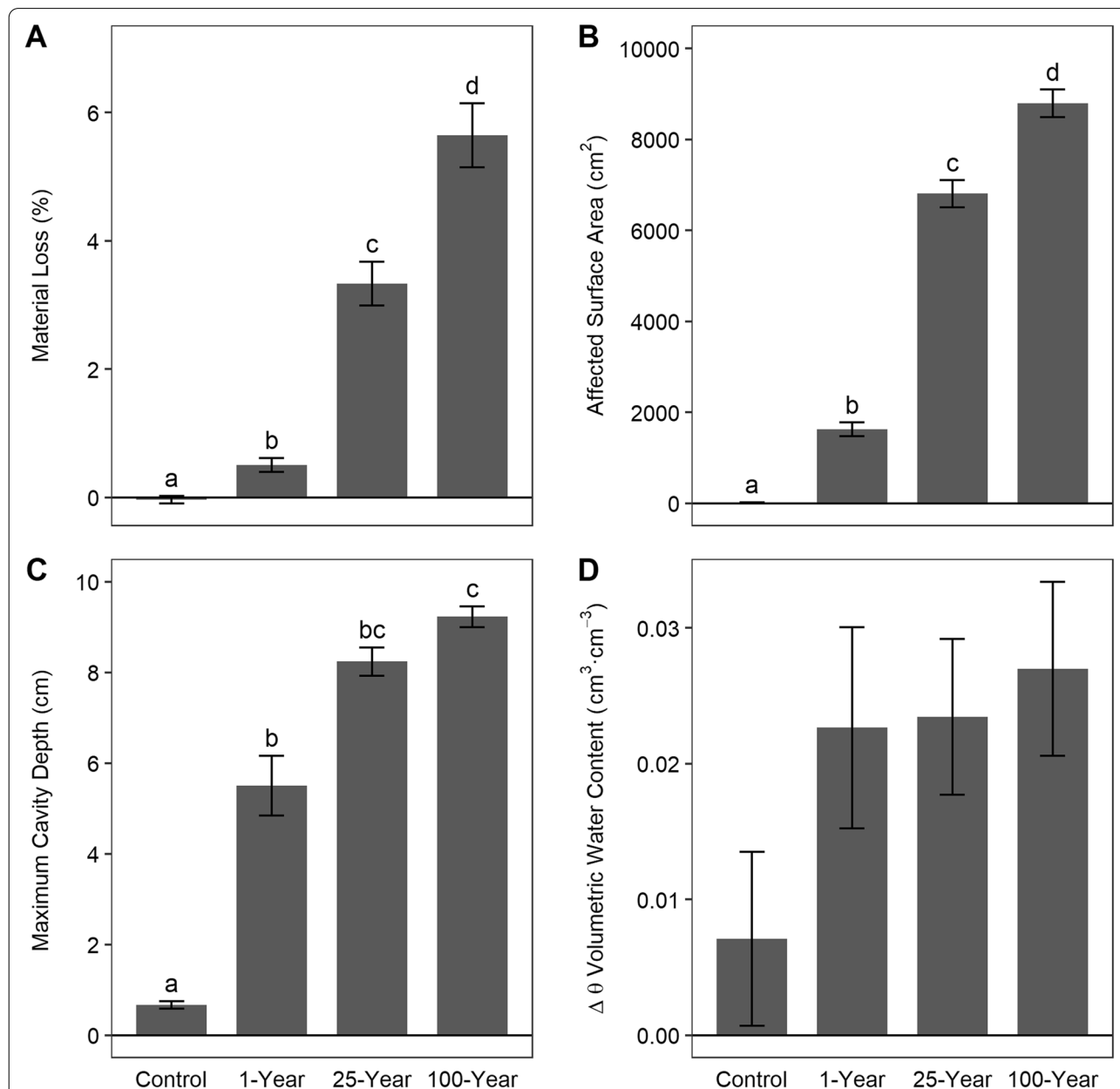
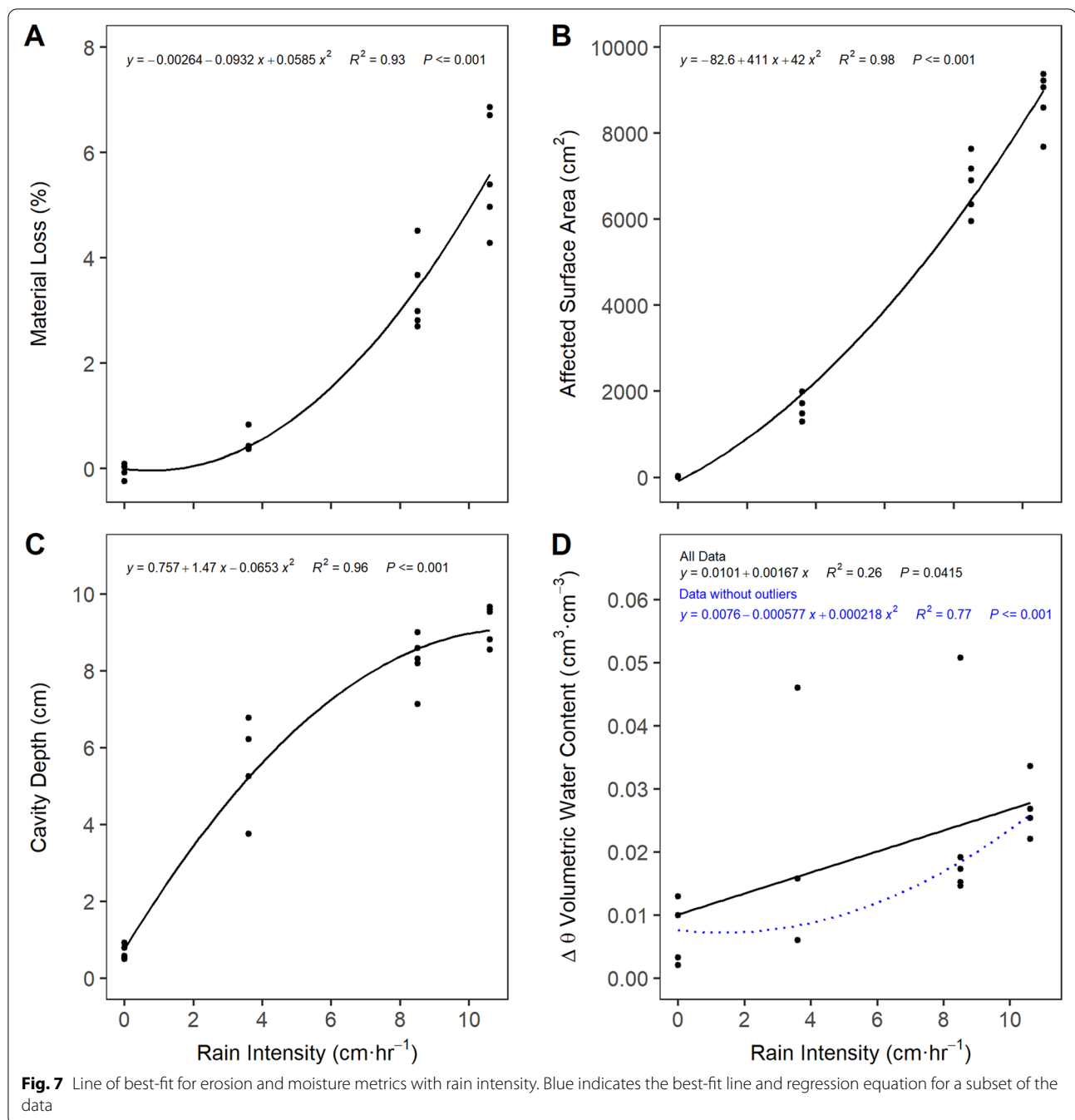


Fig. 6 Estimated marginal mean ($\pm$ SE) for erosion and moisture metrics by high intensity rainfall treatments. Lowercase letters across treatments within a metric (A–C) indicate statistically different groups based on post-hoc pairwise comparisons, Dunnnett t3 method ($p \leq 0.05$) for material loss, affected surface area and cavity depth; Tukey method ($p \leq 0.05$) for net change of volumetric water content



100-year treatment was statistically greater than for the other three treatments.

Low-intensity rainfall experiment

In the low-intensity rainfall experiment, affected surface area, maximum cavity depth, and $\Delta\theta\text{VWC}$ were significantly greater in both the 1-event and 2-event treatments than in the control (Tables 8, 9, Fig. 9b–d). The 1-event and 2-event treatments were statistically comparable for

these three metrics ($p = 0.440$, 0.366 , and 0.776 , respectively). However, the estimated marginal means were lower in the 2-event treatment. This is likely because drying occurred during the 48-h hiatus between rain events in the 2-event treatment. Material loss was statistically the same for all three treatments. Each treatment included positive and negative material-loss values, which may indicate expansion of the walls or errors in the scanning process or analysis.



Fig. 8 Wall S with erosion near the EC-5 sensor

The affected surface area resulting from the high-intensity experiment demonstrated a significant fixed effect for both material loss and affected surface area in the low-intensity experiment ($p=0.0456$ and 0.003 , respectively). The relationship is slightly inverse, indicating that walls with greater affected surface areas following the high-intensity treatments were associated with marginally less material loss and affected surface area resulting from the low-intensity treatments. The condition of the walls prior to the low-intensity treatments was not a significant variable for maximum cavity depth and $\Delta\theta VWC$.

Net change in VWC was positively correlated with several erosion metrics, both individual and in combination,

Table 8 Wall treatments, erosion metrics, and moisture metrics for the low-intensity rainfall simulations

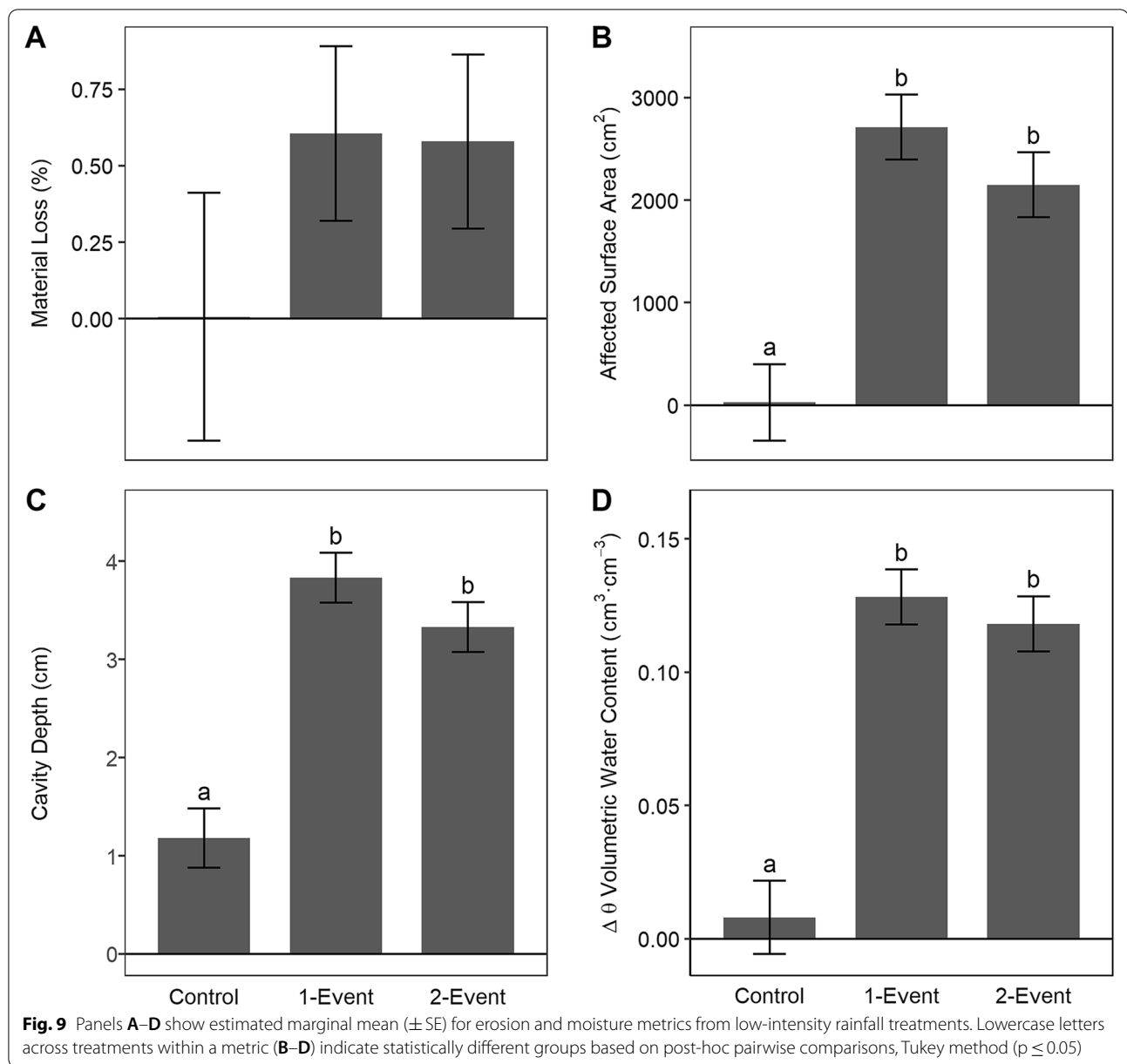
Wall	Treatment	Material loss (%)	Volume loss (cm ³)	Affected surface area (cm ²)	Max cavity depth (cm)	$\Delta\theta VWC$ (cm ³ cm ⁻³)	VWC starting (cm ³ cm ⁻³)	VWC maximum (cm ³ cm ⁻³)	Drying time (d)
B	Control	-0.09	-251	7	0.42	0.012	0.081	0.093	0.4
D	Control	0.31	830	37	2.19	0.005	0.044	0.049	0.4
J	Control	0.91	2551	10	0.93	ND	ND	ND	ND
L	Control	-0.37	-1115	40	0.93	0.008	0.055	0.063	0.3
R	Control	-0.15	-426	38	1.43	0.008	0.052	0.060	0.0
A	1-event	-0.26	-694	1836	4.47	0.119	0.079	0.198	25.4
C	1-event	-0.48	-1422	4799	3.06	0.182	0.056	0.238	48.2
F	1-event	-0.03	-81	2022	3.16	0.108	0.063	0.171	29.8
I	1-event	2.24	5673	3161	4.14	0.087	0.053	0.140	ND
M	1-event	1.10	3394	2460	4.77	0.099	0.047	0.147	28.0
N	1-event	1.25	3171	2140	3.27	0.149	0.044	0.193	13.1
P ^a	1-event	2.52	7307	5041	11.45	0.140	0.056	0.196	24.0
Q	1-event	0.42	1072	2559	3.94	0.153	0.061	0.214	47.9
E	2-event	-0.02	-58	1707	3.87	0.106	0.043	0.149	34.9
G	2-event	0.27	668	1995	3.92	0.064	0.073	0.137	24.9
H	2-event	0.99	2615	1433	2.25	0.136	0.047	0.183	20.2
K	2-event	0.58	1528	1130	3.55	0.131	0.049	0.180	24.3
O	2-event	1.66	3604	2085	2.82	0.119	0.072	0.191	35.7
S	2-event	0.20	607	2903	3.99	0.133	0.041	0.174	20.4
T	2-event	0.39	1057	3789	2.89	0.138	0.062	0.201	41.1

^a Wall P was excluded from analysis due to rain-simulator malfunction

ND indicates no data available

Table 9 ANOVA results for the low-intensity rainfall simulations

Parameter	Material Loss (%)	Volume Loss (cm ³)	Affected Surface Area (cm ²)	Max Cavity Depth (cm)	$\Delta\theta VWC$ (cm ³ cm ⁻³)
Random effect (from high-intensity experiment)	Affected surface area	Affected surface area	Affected surface area	Cavity depth	Affected surface area
Wald test	χ^2 1.67	1.47	31.9	48.8	55.5
	p-value 0.433	0.478	<0.001	<0.001	<0.001

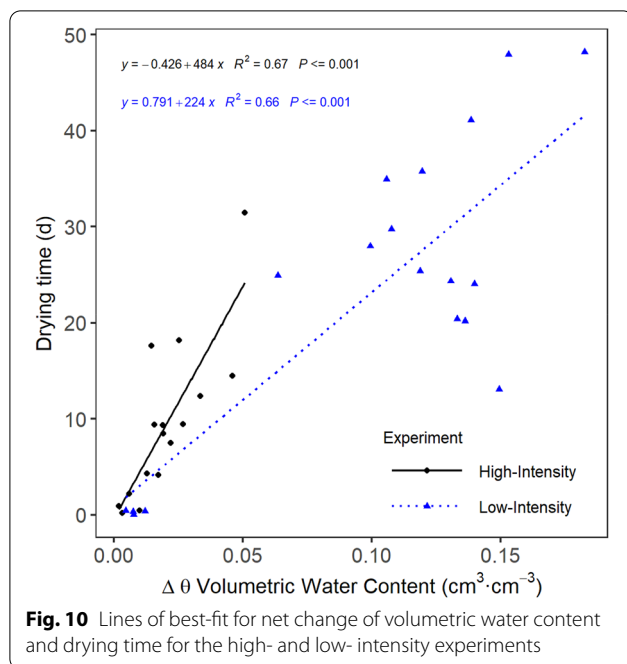


from both the high- and low-intensity simulations. For both experiments, the regression of $\Delta\theta\text{VWC}$ with affected surface area was the best-fit model ($R^2=0.765$, $p \leq 0.001$). Increased surface area may have increased infiltration and VWC in the walls.

Drying time

Two-thirds of the drying-time variation (see Table 8) was explained by the $\Delta\theta\text{VWC}$ (Fig. 10). The significant regression equations of drying time based on $\Delta\theta\text{VWC}$ showed that the walls dried twice as quickly following the low-intensity treatments (2.24 d per $0.01 \text{ cm}^3 \text{ cm}^{-3}$

ΔVWC) as the high-intensity treatments (4.84 d per $0.01 \text{ cm}^3 \text{ cm}^{-3}$ ΔVWC). The difference in drying rate was likely related to the uniformity of moisture in the wall after each simulation, and ambient air temperature during the drying period. The low-intensity, long-duration treatments likely caused more uniform wetting of the walls, while the high-intensity, short-duration treatments likely resulted in a gradient of higher moisture in the outer portions of the wall adjacent to the surface, decreasing toward the center of the wall, where the VWC sensor was located. This is consistent with visual observations of the wall surface during the high-intensity



simulation, and the relatively low $\Delta\theta\text{VWC}$. In addition, the high-intensity simulation occurred in fall, when the average temperature and relative humidity during the drying period were 12.2 °C and 0.40, respectively. The low-intensity simulation occurred in late spring. Drying continued into summer, when average temperature was higher (29.8 °C), and relative humidity lower (0.23), contributing to a higher evaporation rate. Even under these warm, dry conditions, the wettest wall ($\Delta\theta\text{VWC}=0.182 \text{ cm}^3 \text{ cm}^{-3}$) took over 48 days to fully dry to the starting VWC (Table 8). Linear regression models containing wall-degradation metrics as additional independent variables individually and in combination did not provide better-fit models.

Discussion

As noted, recent anecdotal evidence of increased intensity in monsoon events led us to conduct this study. The relationship between rainfall and test walls indicated a nearly exponential increase in wall degradation with increasing rainfall intensity (see Fig. 7). This included an interaction between rainfall intensity/duration and several erosion processes (e.g., splash-sheet, detachment, rilling, and mass wasting). Observations of the progression of erosion processes during high-intensity rainfall simulations provide some insight about the hydrologic mechanisms causing this relationship. Below are the stages of deterioration we observed during high-intensity simulations:

1. At the onset of rainfall simulations, erosion was likely driven by raindrop impacts detaching particles from the wall surface. Initially high infiltration rates [56] appeared to limit runoff and associated erosion processes.
2. As rainfall continued, we observed sediment winnowing from splash-sheet processes [57–59], resulting in the top of the wall becoming armored with larger particle sizes. This likely mitigated material loss as the surface became less erodible [60].
3. As infiltration rates waned below the applied precipitation rate, we noted ponding on top of the walls. Runoff began to be routed along the sides of walls, creating areas more vulnerable to rain splash detachment and heightened shear stress from concentrated flow [57–59]. These vulnerable areas, typically near mortar joints, began to scour, creating incised flow paths beginning at the top of the wall or cavities immediately below the top row of blocks (Fig. 8).
4. Rills beginning at the top of the wall continued to incise and/or expand laterally over the duration of the simulation. In areas where a cavity developed, overlying blocks gradually became more saturated as infiltration continued. Once the shear strength of the block was sufficiently decreased by increasing pore pressure, the overburden collapsed in a mass-wasting event [61].

Hydrological mechanisms explain the large increase in material loss found at intensities greater than or equal to 8.5 cm h^{-1} . Importantly, the mass wasting observed in this study requires the interaction of infiltration *and* erosion processes [61]. This interaction would not be captured by immersion, absorption, and erodibility tests [23]. Another possible contributor to the high soil loss is the “new-old” state of the walls. The condition of the walls at the start of each experiment may have affected the erosion processes. For example, in the high-intensity experiment, the newly constructed walls had relatively flat tops, leading to ponding on the top of the wall and then runoff, which could have caused greater erosion compared to the weathered walls in the low-intensity experiment.

Both rain amount and intensity are important to understanding how a rain event affects bare adobe walls. For example, the low-intensity 1-event treatment produced over twice the rainfall (volume) but less than one-third of the intensity of the high-intensity 1-year treatment (Table 10). Yet the results for material loss and affected surface area were similar between the two treatments, while cavity depth was greater in the high-intensity treatment. This suggests that rilling was a less-dominant source of erosion under the lower-intensity rainfall. All erosion metrics were greater in the high-intensity 25-year

Table 10 Estimated marginal means of wall degradation and moisture metrics for select treatments

Metric	Low-intensity, 1-event (3.9 cm at 1.0 cm h ⁻¹)	High-intensity, 1-year (1.8 cm at 3.6 cm h ⁻¹)	High-intensity, 25-year (4.2 cm at 8.5 cm h ⁻¹)
Material loss (%)	0.60	0.51	3.34
Affected surface area (cm ²)	900	912	4670
Cavity depth (cm)	3.83	5.51	8.25
$\Delta\theta$ volumetric water content (cm ³ cm ⁻³)	0.128	0.023	0.023

treatment, which had a similar rain total, but at an intensity over eight times that of the low-intensity 1-event treatment.

While these results confirmed the suspicion that increased intensity in summer storms is creating more damage, the standard assumption that low-intensity, drenching rains create damage is still true. Managers of earthen architecture have long observed mass wasting following low-intensity, multi-day storm events. We did not observe this type of structural failure during the study. However, the substantial increases of interior moisture under the low-intensity treatments (with wall interiors remaining relatively dry after high-intensity treatments) provides evidence that internal saturation is likely a primary factor in large-scale failures. Simulating low-intensity rain over a duration beyond four hours may further support this inference. It will also be valuable to test how preservation methods change the infiltration and evaporation of precipitation.

Conclusions

Information for decision-making

The NPS mission requires resource managers “to conserve ... historic objects ... and to provide for the enjoyment of the same in such manner and by such means as will leave them unimpaired for the enjoyment of future generations” (16 U.S.C. 1–4). Balancing the preservation of earthen architecture and visitor enjoyment is a difficult task and throughout the history of the NPS, these two factors have led to a sense of overwhelming urgency: we must protect architecture now, before any more is lost. Couple that with limited testing on the mechanisms that cause structural damage and NPS cultural resource specialists are left to manage fragile resources with assumptions made from anecdotal observations.

The goal of this project was to provide some of those missing baseline data. This study demonstrated that bare adobe is highly vulnerable to degradation when exposed to ambient precipitation. Without the typically used preservation treatments, including caps and protective shelters, the adobe test walls experienced substantial impacts in response to simulated storm events. The high-intensity experiment confirmed the anecdotal

observations of resource managers from across the US Southwest: there is a nearly exponential relationship between rain amount, rain intensity, and resource damage, as measured by material loss and affected surface area. The 100-year treatment (10.6 cm h⁻¹) caused over 5% of the wall volume to erode, an astonishing amount for a 30-min rain event. However, the interior of walls, except proximate to deep cavities, remained relatively dry likely because the short duration of the simulated storms led to high runoff and limited infiltration. Thus, given the predicted increase in high-intensity storms, climate change is adding a new dimension of urgency to the NPS resource managers’ suite of preservation challenges.

Interior wall moisture in the low-intensity treatments was substantially greater than the high-intensity treatments indicating deep water infiltration and less runoff under low-intensity, long-duration storms. While none of the walls collapsed, we suspect that a longer duration storm would cause additional infiltration, increasing internal moisture to a peak threshold where structural integrity is compromised. Multiple storms without adequate drying time could also progressively saturate the adobe. In this study gaps of up to two days did not contribute enough drying time for VWC in the low-intensity two-event treatment to be significantly different from the one-event treatment. Observed drying time after the low-intensity rain treatments of 13 to 48 days also indicates that multiple rain events with a week or more drying time, could still have compounding effects on internal moisture.

Earthen architectural sites respond to weather events in different ways. A site’s age, location and treatment history are just some of the many factors that might impact how it deteriorates over time. The results presented here provide necessary baseline information on how bare adobe is affected by rain events and will help park managers to make informed decisions about the protection and preservation of adobe architecture. This study also creates a useful starting point for developing expectations for future impacts.

Next step: test additives with the same experiments

This project, and specifically the partnership between the NPS and the ARS, provided the necessary components to understand baseline issues, be proactive, and test anecdotal evidence. Continuing this partnership is essential, because the research described in this article was just a first step. More testing is needed to fully explore the details presented here. This and future data will be used to construct a matrix of wall degradation based on total rainfall and intensity. This information will allow NPS resource managers to better preserve earthen architecture year-round.

This study suggests that large rainfall totals, whether concentrated in a short high-intensity storm, or longer, low-intensity rainfall, can cause considerable damage to bare adobe; however, the mechanism of degradation varies. Building on the work presented here, we will examine which preservation methods protect adobe and prevent both infiltration and surficial damage from the impact of raindrops and runoff. Our next steps will be to revisit those amendment studies, especially Fort Selden, and to test the best performing amendments with similar treatments to those reported here. Determining the durability of the materials, their resistance to crack formation and deterioration, and how amendments or caps change the infiltration and evaporation of precipitation, will be essential to the continued preservation of earthen architecture across the US Southwest. This data will be invaluable to resource managers as they look at their cyclic maintenance needs and prepare for future extreme weather events.

Abbreviations

AIC: Akaike's information criterion; ANOVA: Analysis of variance; ARS: US Department of Agriculture's Agriculture Research Service; $\Delta\theta VWC$: Net change of Volumetric Water Content; DP: Dielectric permittivity; DRLC: NPS Sonoran Desert Network's Desert Research Learning Center; LiDAR: Laser imaging, detection, and ranging; NPS: US Department of Interior's National Park Service; NHP: National Historical Park; US: United States; VWC: Volumetric water content.

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Authors' contributions

SH contributed to the development of project methodology, the construction of adobe test walls, the collection and analysis of project data and was a major contributing writer. KR contributed to the development of project methodology, the construction of adobe test walls, the installation of moisture sensors and was a major contributor to the statistical analysis as well as the writing and revision of the manuscript. JW contributed to the development of project methodology, was a major contributor to the implementation of rain simulations and assisted with the analysis of project data and the writing of the manuscript. JJ contributed to the development of project methodology as a major contributor to the implementation of rain simulations and assisted with the analysis of project data and the writing of the manuscript. JD assisted with the development of project methodology was a major contributor to the implementation of Lidar scanning and the analysis of spatial data as well as assisting with writing of the manuscript. MG contributed to the development of project methodology and was a major contributor to the writing and revision of the manuscript. All authors read and approved the final manuscript.

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Availability of data and materials

The data will be available upon request.

Declarations

Competing interests

As authors, we declare that we have no conflicts of interest to disclose.

Author details

¹National Park Service, Southern Arizona Office, 3636 North Central Avenue, Suite 410, Phoenix, AZ 85012, USA. ²US Department of Agriculture, Agriculture Research Service, Southwest Watershed Research Center, 2000 East Allen Road, Tucson, AZ 85719, USA. ³School of Natural Resources and the Environment, The University of Arizona, Environment and Natural Resources 2, 1064 East Lowell Street, Tucson, AZ 85721, USA.

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